

Compliance Discovery Document

1. What are you trying to accomplish in regards to Compliance?
2. Do you operate in a regulated environment? Do you need to comply with SOX (Publicly Traded),, HIPA or PCI?
3. Do you have an internal and/or external auditor? If yes, please list.

4. If you have PCI Compliance requirements, who is your QSA? (Qualified Security Assessor)
5. If you have PCI Compliance requirements, do you leverage a ASV? (Approved Scanning Vendor). If yes, who?
6. Do you manage your own PCI environment and Compliance?

7. If you have HIPAA Compliance requirements, what is your setting? (acute care, inpatient rehab, long-term, skilled nursing, combination, etc.)
8. Do you have a HIPAA compliance officer? Who is it within your organization?
9. Do you current work with an outside agency or internally to manage HIPAA?

10. Any other insight to your compliance needs?